

BOX PATENT APPLICATION ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

VIA HAND DELIVERY PATENT

Case Docket No. 60303.3

Date: November 8, 2001

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Transmitted herewith for filing is the patent application of:

Inventor(s): Hirokazu KANEKIYO, Toshio MIYOSHI, and Satoshi HIROSAWA

For: NANOCOMPOSITE MAGNET AND METHOD FOR PRODUCING SAME ☐ Applicant(s) claim(s) benefit under 35 U.S.C. § 119(e) of United States provisional application No. Enclosed are: 113 Pages of Specification 18 Sheet(s) of drawings (**☑** formal □ informal) ☐ Declaration and Power of Attorney ☑ Will follow. ☐ Form PTO-1595 and an Assignment of the invention to Sumitomo Special Metals Co., Ltd. of 4-7-19, Kitahama, Chuo-ku. Osaka-shi, Osaka 541-0041, JAPAN ☑ Will follow ☐ A certified copy of Japanese Patent Appln. Nos. 2000-344567 filed on November 13, 2000, 2000-352306 filed on November 20, 2000, 2001-054252 filed on February 28, 2001, 2001-271414 filed on September 7, 2001, and 2001-307819 filed on October 3, 2001 from which priority is claimed in the subject case pursuant to Rule 55b and 35 Will follow A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27. ☐ Information Disclosure Statement, Form PTO 1449, and _ cited reference(s). Change of Correspondence Address Information Sheet General authorization/request to Petition for Extensions of Time × OTHER THAN FOR: NO. FILED NO. SMALL ENTITY SMALL ENTITY OTHER THAN **EXTRA** RATE FEE SMALL SMALL ENTITY **ENTITY RATE** FEE \$740.00 BASIC FEE \$370.00 OR TOTAL CLAIMS \$306.00 OR X 18 -20= -17-X 9 OR X 84 \$84.00 X 40 INDEP CLAIMS 4 -3= -1-\$ OR X+ 130 + 260 \$-0-MULTIPLE \$1130.00 TOTAL = TOTAL: DEP CLAIMS PRESENTED

	Please charge my Deposit Account No. 50-1353 the amount of \$ A duplicate copy of this sheet is enclosed.
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203	38)

☐ Credit Card Payment Form for \$40.00 covering the Assignment Recordation Fee is enclosed.

- ☑ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1353. A duplicate copy of this sheet is enclosed.
 - Any additional filing fees required under 37 CFR 1.16.
 - ☑ Any patent application processing fees under 37 CFR 1.17.
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 - ☐ Any patent application processing fees under 37 CFR 1.17.
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☐ Any filing fees under CFR 1.16 for presentation or extra claims.

Respectfully submitted,

Joseph R. Keating Régistration No. 37,368

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hirokazu KANEKIYO, Toshio MIYOSHI, AND Satoshi HIROSAWA

For: NANOCOMPOSITE MAGNET AND METHOD FOR PRODUCING SAME

CERTIFICATE OF HAND DELIVERY

BOX PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Date of Deposit: November 8, 2001

I hereby certify that an application for patent, including:

One-page cover sheet; 113 pages of Specification (which includes 37 claims and a one-page

Abstract); 18 Sheets of Formal Drawings; an Information Sheet; a General Authorization/Request to

Petition for Extensions of Time; a Credit Card Payment Form (PTO-2038) authorizing a charge to my

credit card in the amount of \$1130.00 to cover the filing fee; a Transmittal Letter (Form PTO 1082);

and Return Postcard are being deposited with the U.S. Patent Office at the Customer Service Window

of the U.S. Patent Office on the date indicated above and is addressed to the Assistant Commissioner

for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: November 8, 2001